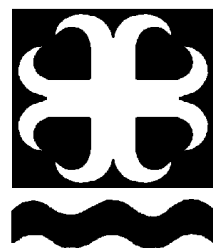


**Sefton Council**

FINANCE DEPARTMENT



**Internal Audit Section**  
**Summary of Internal Audit Work**  
**1<sup>st</sup> April 2014 – 31<sup>st</sup> August 2014**





	Audit Type	Status	Opinion	Recommendations		Draft	Action	Final	Job
Work in Progress/Completed				Proposed	Agreed	Sent	Plan Ret	Sent	Closed

<b>Corporate Personnel</b>									
HR Transactional Services B/F	Assurance	DR	Weak	4		20/08/2014			
Payroll Client Team	Assurance								
Casual Employees	Assurance								
Occupational Health Unit	Assurance								
Pre Employment Screening Checks	Assurance								
<b>Neighbourhoods</b>									
Neighbourhoods									
<b>Corporate Communications</b>									
Marketing & Advertising	VFM								
<b>Governance &amp; Civic Services</b>									
Mayors Charity	Assurance								
<b>BUILT ENVIRONMENT</b>									
<b>Investment Programme &amp; Infrastructure</b>									
Renovation Grants / DFG B/F	Assurance	DR	Good	6					
Integrated Capital Transport Grant	Grant Certification	Completed	N/A	N/A	N/A	N/A	N/A	11/08/2014	11/08/2014
KADOE - Phase 1	Assurance	Completed	N/A	N/A	N/A	N/A	N/A	01/05/2014	01/05/2014
KADOE - Phase 2	Assurance								
Local Sustainable Transport Fund Grant	Grant Certification								
Asset & Property Management	VFM								
<b>Environment</b>									
Licencing & Regulation B/F	Assurance	DR	Weak	12					
Pest Control	Assurance	In Progress							



	Audit Type	Status	Opinion	Recommendations		Draft	Action	Final	Job
Work in Progress/Completed				Proposed	Agreed	Sent	Plan Ret	Sent	Closed

<b>PROJECT SUPPORT</b>									
Integrated Adults Social Care System	Project Support	In Progress							
Financial System Upgrade/Replacement	Project Support	In Progress							
<b>ICT</b>									
IS Policy Compliance Follow-up B/F	ICT	Completed	Fair	3	3	17/03/2014	21/05/2014	04/06/2014	04/06/2014
Inegrated Adult System (IAS)	ICT	Completed	N/A	N/A	N/A	N/A	N/A	N/A	12/08/2014
Information Governance	ICT	In Progress							
Internet	ICT	In Progress							
Payment Card Industry - Data Security	ICT	In Progress							
Mobile Devices/Computing	ICT								
Information Governance - Schools	ICT								
ICT Assurance Mapping	ICT								
Sefton at Work ICT Security Audit	ICT								
<b>CONSULTANCY</b>									
St Gregorys Primary School - Income B/F		Completed	Poor	18	18	14/04/2014	09/05/2014	22/05/2014	22/05/2014
My View Disclaimer		Completed	N/A	2	2	05/06/2014	26/06/2014	26/06/2014	26/06/2014
Employee HB Fraud Protocol		Completed	N/A	N/A	N/A	N/A	N/A	18/08/2014	18/08/2014
Travel Team Ipads		Completed	N/A	N/A	N/A	N/A	N/A	23/07/2014	23/07/2014
Skip Invoicing B/F		DR	Weak	6		19/08/2014			
Linaker Primary School - Controls Report		DR	Weak	22		To be issued September 2014			
Trading Standards IS Security & ICT Usage		PDR							
Network Data Usage		In Progress							
Schools External Bank Accounts		In Progress							
Library Review		In Progress							
<b>ADVICE</b>									
There have been 22 requests for Advice in the period									

